

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP02-275	Total Pages	2
First Named Inventor or Application Identifier: Bhagavatula et al.			
Title : SMALL MODE-FIELD FIBER LENS			
Express Mail Label No.	EV 327189657 US		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on 10-31-03

(Date)

Signature Colleen E. Doherty
Colleen E. Doherty

"EXPRESS MAIL" Mailing Label No. EV 327189657 US

ADDRESS TO:
Mail Stop Patent Application
Commissioner of Patents
P.O. Box 1450

Alexandria, VA 22313-1450

22141 U.S.PTO
10/699450
103103


1. * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 23]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 8]
4. Oath or Declaration [Total Pages]
 - a. Executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of Attorney
(when there is an assignee)
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
13. Certified Copy of Priority Document(s) 15. Other:
(if foreign priority is claimed)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional. Continuation-in-part (CIP) of prior application No

Prior application information: Examiner:

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 22928 or Correspondence address below

NAME					
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	607-974-3164	FAX	(607) 974-3848
Name (Print/Type)	Timothy M. Schaeberle		Registration No. (Attorney/Agent)	34,424	
Signature			Date	10/24/03	

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103103
U.S.P.

FEE TRANSMITTAL

for FY 2003

TOTAL AMOUNT OF PAYMENT | (\$770.00)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Bhagavatula et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned

Attorney Docket Number SP02-275

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-3325

Deposit Account Name Corning Incorporated

- Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2. Payment Enclosed:

- Check Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Fee	Fee	Fee Description	Fee Paid
	Code	(\\$)		
1001	770		Utility filing fee	<u>770.00</u>
1002	340		Design filing fee	
1003	530		Plant filing fee	
1004	770		Reissue filing fee	
1005	160		Provisional filing fee	

SUBTOTAL (1) (\$770.00)

2. EXTRA CLAIM FEES

	Extra	Fee from			
Total Claims	20	- 20** =	x 18	=	Fee Paid
					00.00

Independent Claims	3	- 3** =	x 86	=	00.00
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Multiple Dependent	0	=	0.00
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***or number previously paid, if greater; For Reissues, see below*

Large Entity	Fee	Fee	Fee Description
Code	(\\$)		
1202	18		Claims in excess of 20
1201	86		Independent claims in excess of 3
1203	290		Multiple dependent claim, if not paid0
1204	86		** Reissue independent claims over original patent
1205	18		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$00.00)

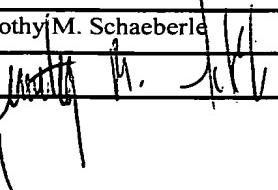
FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Fee	Fee	Fee Description	Fee Paid
Code	(\\$)			
1051	130		Surcharge - late filing fee or oath	
1052	50		Surcharge - late provisional filing fee or cover sheet	
1053	130		Non-English specification	
1812	2,520		For filing a request for reexamination	
1804	920*		Requesting publication of SIR prior to Examiner action	
1805	1,840		Requesting publication of SIR after Examiner action	
1251	110		Extension for reply within first month	
1252	420		Extension for reply within second month	
1253	950		Extension for reply within third month	
1254	1,480		Extension for reply within fourth month	
1255	2,010		Extension for reply within fifth month	
1401	330		Notice of Appeal	
1402	330		Filing a brief in support of an appeal	
1403	290		Request for oral hearing	
1451	1,510		Petition to institute a public use proceeding	
1452	110		Petition to revive - unavoidable	
1453	1,330		Petition to revive - unintentional	
1501	1,330		Utility issue fee (or reissue)	
1502	480		Design issue fee	
1503	640		Plant issue fee	
1460	130		Petitions to the Commissioner	
1807	50		Petitions related to provisional applications	
1806	180		Submission of Information Disclosure Stmt	
8021	40		Recording each patent assignment per property (times number of properties)	
1809	770		Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
1810	770		For each additional invention to be examined (37 C.F.R. § 1.129(b))	
1801	770		Request for Continued Examination (RCE)	
1802	900		Request for expedited examination of a design application	

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY**Completed (if applicable)**

Name (Print/Type)	Timothy M. Schaeberle	Registration No. (Attorney/Agent)	34,424
Signature		Date	10/31/03

(U.S.)

Attorney Docket No.: SP02-275

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
COMBINED**

Applicant(s): Bhagavatula, et al
Serial No.: To Be Assigned
Filed: Herewith
For: SMALL MODE-FIELD FIBER LENS

**CERTIFICATE UNDER
37 C.F.R. § 3.73(b)
and
POWER OF ATTORNEY**

Commissioner for Patents
Alexandria, VA 22313

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

CORNING INCORPORATED, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.